

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Haiqing Wei, et al.

Serial No. : 09/971,831

Group Art Unit: 2633

Date Filed : October 4, 2001

Examiner:

For : GENERATION OF OPTICAL SIGNALS  
WITH RETURN-TO-ZERO FORMAT

1185 Avenue of the Americas  
New York, N.Y. 10036

Assistant Commissioner for Patents  
**Box Missing Parts**  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

The information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the information identified herein are also provided.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Ivan S. Kavrukov*

*Jan 3, 2002*

Ivan S. Kavrukov  
Reg. No. 25,161

Date

The Examiner's attention is directed to the documents cited on the attached Form PTO-1449 and to the following copending, commonly owned U.S. patent application (copy enclosed):

U.S. Serial No.

Date Filed

09/972,146

October 4, 2001

and to any prior art or other documents cited in said copending applications.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

This Information Disclosure Statement is being filed within three (3) months of the date of the filing date of this application.

To the best of Applicant(s) knowledge, this Information Disclosure Statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

The Office is hereby authorized to charge any fees that may be required for consideration of this Information Disclosure Statement and to credit any overpayment to our Deposit Account No. 03-3125.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Ivan S. Kavrukov", written over a horizontal line.

Ivan S. Kavrukov  
Registration No. 25,161  
Attorney for Applicants  
Cooper & Dunham LLP  
Tel. (212) 278-0400

<b>Form PTO-1449</b>		<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>			Atty. Docket No. <b>0980/66019</b>	Serial No. <b>09/971,831</b>	
<b>INFORMATION DISCLOSURE CITATION</b> <b>BY APPLICANT</b> (Use several sheets if necessary)					Applicant <b>Haiping Wei, et al.</b>		
					Filing Date <b>October 4, 2001</b>	Group <b>2633</b>	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	5 6 0 8 5 6 0	03/04/97	Abram et al.			
	AB	5 6 2 5 7 2 2	04/29/97	Froberg et al.			
	AC	5 7 4 5 6 1 3	04/28/98	Fukuchi et al.			
	AD	5 7 8 6 9 1 8	07/28/98	Suzuki et al.			
	AE	5 8 2 8 4 7 8	10/27/98	Thomine et al.			
	AF	5 9 0 7 4 2 1	05/25/99	Warren et al.			
	AG	6 0 0 5 7 0 2	12/21/99	Suzuki et al.			
	AH	6 0 4 6 8 3 8	04/04/00	Kou et al.			
	AI	6 0 7 2 6 1 5	06/06/00	Mamyshev			
	AJ	6 0 9 1 5 3 5	07/18/00	Satoh			
	AK	6 0 9 7 5 2 5	08/01/00	Ono et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
	AL						
	AM						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	AN	Miyamoto, Y. et. al., "S-band 3x120-km DSF transmission of 8x42.7-Gbit/s DWDM duobinary-carrier-suppressed RZ Signals generated by novel wideband PM/AM conversion," Proc. Optical Amplifiers and their Applications (OAA 2001), Postdeadline paper PD6.					
	AO	Optimight Communications, Inc., "Introducing the OMC 1600™ Optical Transport System -- Experience the Optimight Difference: Catalyst for the Bandwidth Revolution," 4-page brochure downloaded on 12/13/2001 from <a href="http://www.optimight.com/products/omc1600_brochure.pdf">www.optimight.com/products/omc1600_brochure.pdf</a> .					
	AP	Optimight Communications, Inc., "OMC 1600™ Core Optical Transport System Data Sheet -- Optimight Communications OMC 1600", 2-page brochure downloaded on 12/13/2001 from "www.optimight.com/products/OMC 1600 Data Sheet 5[1].24.01.pdf."					
	AQ	Winzer, P. and Leuthold, J., "Return-to-Zero Modulator Using a Single NRZ Drive Signal and an Optical Delay Interferometer," IEEE Photonics Technology Letters, publication pending, Publisher Item Identifier S 1041-1135(01)09971-2.					
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

0980/66019

Serial No.

09/971,831

## INFORMATION DISCLOSURE CITATION

BY APPLICANT

(Use several sheets if necessary)

Applicant

Haiqing Wei, et al.

Filing Date

October 4, 2001

Group

2633

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA 6 0 9 7 5 2 9	08/01/00	Lee et al.			
	AB 6 1 0 1 0 1 1	08/08/00	Taylor			
	AC 6 1 0 4 8 4 7	08/15/00	Seino			
	AD 6 1 1 1 6 7 9	08/29/00	Fishman			
	AE 6 1 5 1 1 4 6	11/21/00	Chiaroni et al.			
	AF 6 1 6 3 3 9 4	12/19/00	Webb			
	AG 6 2 4 3 0 3 1	06/05/01	Jusuf et al.			
	AH 6 2 4 3 5 1 6	06/05/01	Seino			
	AI R E 3 4 6 7 6	07/26/94	Kuhn			
	AJ					
	AK					

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	AL						
	AM						
	AN						
	AO						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Ougazzaden, A., et al., "40Gb/s tandem electro-absorption modulator," Optical Fiber Communication Conference and Exhibit, pp. PD14-1 to PD14-3, Optical Society of America, Anaheim, California (March 17-22, 2001).
AQ	U.S. Application Serial No. 09/972,146, filed October 4, 2001.
AR	
AS	
AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.